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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

BP2960

First Inventor

Henrik T. Jensen

Title

PHASE LOCKED LOOP CALIBRATION VIA THE CHARGE PUMP

Express Mail Label No.

EL979644327US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(submit an original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification [Total Pages 24]  
(preferred arrangement set forth below)  
-Descriptive title of the invention  
-Cross Reference to Related Applications  
-Statement Regarding Fed sponsored R&D  
-Reference to sequence listing, a table,  
or a computer program listing appendix  
-Background of the Invention  
-Brief Summary of the Invention  
-Brief Description of the Drawings (if filed)  
-Detailed Description  
-Claim(s)  
-Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Pages 8]

5. Oath or Declaration [Total Pages 2]

a. ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))

b. ☐ (for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & documents(s))

10. ☒ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.

17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 34,399 or ☐ Correspondence address below  
insert customer no. or attach bar code label here

Name Timothy W. Markison

Address P.O. Box 160727

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Name (Print/Type)\*

Timothy W. Markison

Registration No. (Atty/Agent)

33,534

Signature

*Timothy W. Markison*

Date

9/8/03

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

22386 U.S. PTO  
10/657434  
09/06/03

Please type a plus sign (+) inside this box ☐

PTO/SB/17 (11\_00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

09/08/03  
1461 U.S. PTO

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) \$844.00

## Complete if Known

Application Number  
Filing Date  
First Named Inventor Henrik T. Jensen  
Examiner Name  
Group Art Unit  
Atty Docket No. BP2960

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Fee Description	Fee Paid
Utility filing fee	750.00
Design filing fee	
Plant filing fee	
Reissue filing fee	
Provisional filing fee	
<b>SUBTOTAL (1)</b>	<b>(\$)</b> 750.00

### 2. EXTRA CLAIM FEES

Fee From Below

	Extra Claims	Fee Paid
Total Claims	23	
Independent Claims	3	
Multiple Dependent	0	

Fee Description  
Claims in excess of 3  
Independent claims in excess of 3  
\*\*Reissue independent claims over original patent  
\*\*Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$) 54.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

#### Fee Description

Surcharge-late filing fee or oath  
Surcharge-late provisional filing fee or cover sheet  
Non-english specifications  
For filing a request for ex parte reexamination  
Requesting publication of SIR prior to Examiner action  
Requesting publication of SIR after Examiner action  
Extension for reply within first month  
Extension for reply within second month  
Extension for reply within third month  
Extension for reply within fourth month  
Extension for reply within fifth month  
Notice of Appeal  
Filing a brief in support of appeal  
Request for oral hearing  
Petition to institute a public use proceeding  
Petition to revive - unavoidable  
Petition to revive unintentional  
Utility Issue Fee (or reissue)  
Design Issue Fee  
Plant Issue Fee  
Petitions to the Commissioner  
Processing fee under 37 CFR 1.17(q)  
Submissions of Information Disclosure Stmt  
Recording each patent assignment per property (times number of properties)  
Filing a submission after final rejection (37 CFR 1.129(a))  
For each additional invention to be examined (37 CFR 1.129(b))  
Request for Continued Examination (RCE)  
Request for expedited Examination of design applica  
Other fee (specify)

Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

\$40.00

## SUBMITTED BY

Complete (if applicable)

Name (Print Type)	Timothy W. Markison	Registration No. (Attorney Agent)	33,534	Telephone	(512) 342-0612
Signature		Date	9/8/03		

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

**Certificate Under 37 CFR 3.73(b)**

Applicants: Henrik T. Jensen Hea Joung (Kimmer) Kim, Ph.D

Entitled: PHASE LOCKED LOOP

MODULATOR CALIBRATION TECHNIQUES

Application No.

Filing Date:

**Broadcom Corporation**

(Name of Assignee)

**a, California Corporation**

(Type of Assignee)

states that it is :

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either :

- A. ☒ An Assignment for the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title for the inventor(s) of the patent application/patent identified above to the current assignee as shown below:

1. From \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

- ☒ [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

Date: \_\_\_\_\_

**Dee Henderson**Name: \_\_\_\_\_  
**Manager, Intellectual Property Portfolio**

Title: \_\_\_\_\_

Signature: \_\_\_\_\_  
